

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No. : (unassigned)
 Applicant : SHIN, Sung-Moon et al.
 Filed : June 23, 2006
 Tech Cntr/AU : (unassigned)
 Examiner : (unassigned)
 Entitled : APPARATUS AND METHOD FOR TESTING AND ANALYZING
 BASE STATION WITH SMART ANTENNA, AND PROTOCOL
 STRUCTURE
 Attorney Ref. : 123054-06080149
 Customer No. : 43569

MAIL STOP PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 CFR §1.97 and 1.56, the attention of the U.S. Patent and Trademark Office is hereby directed to the following disclosures made herein, which include:

REFERENCES AND RELEVANCY

- ☐ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are any readily available full or partial English translations of any non-English language documents.
- ☐ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. The references cited herein were cited for consideration in the parent application, and, pursuant to 37 CFR 1.98(d), copies of the cited references can be found in the file of the parent application (*U.S. Serial No.* , *filed*).
- ☒ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. The references cited herein were cited in the International Search Report issued for the corresponding International application, and copies of the International Search Report and the cited references are attached for the Examiner's consideration.
- ☐ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. The references cited herein were cited in a communication from a foreign or international patent office in a counterpart foreign or international application(s), and copies of both the relevant communication and the cited references, where required, are attached for the Examiner's consideration.

CERTIFICATION

The undersigned certifies that, pursuant to 37 CFR §1.97(e)(1),

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application not more than three months prior to the filing of this Statement.

RELATED CASES

- ☐ Identified in the attached Appendix are related applications directed to related technical subject matter. Copies of the related applications, where required, are attached for the Examiner's consideration. *The identification of the related U.S. patent applications is not to be construed as a waiver of secrecy for those applications, now or upon issuance of the present application as a patent.*

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- ☒ within three months of the filing date of the application and/or before the mailing date of a first Official Action on the merits, and no fee is required [37 C.F.R. §1.97(b)].
- ☐ with the appropriate certification, and no fee is required [37 C.F.R. §1.97(e)(1)].
- ☐ after the mailing date of the first Official Action on the merits, but prior to the issuance of a Notice of Allowance, and the requisite fee is authorized herein for payment [37 C.F.R. §1.97(c)].
- ☐ after the mailing date of the Notice of Allowance, but prior to the payment of the issue fee, the requisite certification under 37 CFR §1.97(e)(1) is made herein, and the requisite fee is authorized herein for payment [37 C.F.R. §1.97(d)].
- ☐ with a Request for Continued Examination (RCE), and no fee is required [37 C.F.R. §1.97(b)(4)].

FEE AUTHORIZATION

- ☒ Authorization is hereby given to charge any deficient fee(s) under 37 CFR §1.16 and §1.17 as necessary to ensure the consideration of this disclosure, or to credit any fee overpayments, to Deposit Account No. 503-121.
- ☐ Authorization is hereby given to charge the requisite fee of \$180 (Fee Code 1806) for submission of this Information Disclosure Statement to Deposit Account No. 503-121.

It is respectfully requested that this information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.


10/584334
IAP20 Rec'd PCT/PTO 23 JUN 2006

The Examiner is further respectfully requested to return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column.

The examination and allowance of this Application is respectfully requested.

Respectfully Submitted,

MAYER BROWN ROWE & MAW LLP

By: 
Yoon S. Ham
Registration No. 45,307

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Date: June 23, 2006

Attachment(s): PTO Form 1449
International Search Report
Cited References

U.S. Department of Commerce
U.S. Patent & Trademark Office

APZOREG-PTO 23 JUN 2006

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Attorney Reference: 123054-06080149

10/584334

Applicant: SHIN, Sung-Moon et al.

Application Serial No. : new application

Filing Date: June 23, 2006

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Examiner: unassigned

Group Art Unit: unassigned

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/DD/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR	6,185,420	02/06/2001	Choi	H04B	1/00	07/01/1998
	BR	6,327,467	12/04/2001	Yang et al.	H04Q	7/34	12/04/2001
	CR	5,694,451	12/02/1997	Arinell	H04M	3/22	06/06/1995
	DR						
	ER						
	FR						

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/DD/YYYY	Country	Translation Readily Available		English Abstract	
					Enclosed	No	Enclosed	No
	GR	WO 99/43174	08/26/1999	PCT	X		X	
	HR							
	IR							
	JR							
	KR							
	LR							
	MR							

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	NR	Sung-Moon SHIN et al.; "The Smart Antenna WCDMA IMT-2000 Testbed"; Cell Interconnection and Computation toward Ubiquitous Communications; Cellular and Intellegent Communications Conference held Oct. 28-31, 2003; pp.1-5.
	OR	International Search Report dated March 21, 2005 for PCT/KR2004/002437.
	PR	
	QR	
	RR	
	SR	

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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